

# The state complexity of star-complement-star

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**Abstract.** We resolve an open question by determining matching (asymptotic) upper and lower bounds on the state complexity of the operation that sends a language  $L$  to  $(\overline{L^*})^*$ .

## 1 Introduction

Let  $\Sigma$  be a finite nonempty alphabet, let  $L \subseteq \Sigma^*$  be a language, let  $\overline{L} = \Sigma^* - L$  denote the complement of  $L$ , and let  $L^*$  (resp.,  $L^+$ ) denote the Kleene closure (resp., positive closure) of the language  $L$ . If  $L$  is a regular language, its *state complexity* is defined to be the number of states in the minimal deterministic finite automaton accepting  $L$  [7]. In this paper we resolve an open question by determining matching (asymptotic) upper and lower bounds on the deterministic state complexity of the operations

$$\begin{aligned} L &\rightarrow (\overline{L^*})^* \\ L &\rightarrow (\overline{L^+})^+. \end{aligned}$$

To simplify the exposition, we will write everything using an exponent notation, using  $c$  to represent complement, as follows:

$$\begin{aligned} L^{+c} &:= \overline{L^+} \\ L^{+c+} &:= (\overline{L^+})^+, \end{aligned}$$

and similarly for  $L^{*c}$  and  $L^{*c*}$ .

Note that

$$L^{*c*} = \begin{cases} L^{+c+}, & \text{if } \varepsilon \notin L; \\ L^{+c+} \cup \{\varepsilon\}, & \text{if } \varepsilon \in L. \end{cases}$$

It follows that the state complexity of  $L^{+c+}$  and  $L^{*c*}$  differ by at most 1. In what follows, we will work only with  $L^{+c+}$ .

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## 2 Upper Bound

Consider a deterministic finite automaton (DFA)  $D = (Q_n, \Sigma, \delta, 0, F)$  accepting a language  $L$ , where  $Q_n := \{0, 1, \dots, n-1\}$ . As an example, consider the three-state DFA over  $\{a, b, c, d\}$  shown in Fig. 1 (left). To get a nondeterministic finite automaton (NFA)  $N_1$  for the language  $L^+$  from the DFA  $D$ , we add an  $\varepsilon$ -transition from every non-initial final state to the state 0. In our example, we add an  $\varepsilon$ -transition from state 1 to state 0; see Fig. 1 (right). After applying the subset construction to the NFA  $N_1$  we get a DFA  $D_1$  for the language  $L^+$ . The state set of  $D_1$  consists of subsets of  $Q_n$  see Fig. 2 (left). Here the sets in the labels of states are written without commas and brackets; thus, for example 012 stands for the set  $\{0, 1, 2\}$ . Next, we interchange the roles of the final and non-final states of the DFA  $D_1$ , and get a DFA  $D_2$  for the language  $L^{+c}$ ; see Fig. 2 (right).

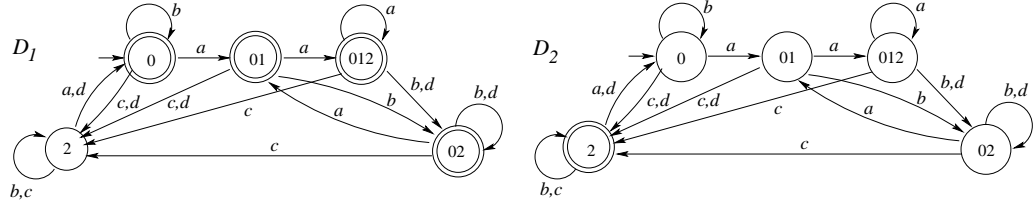
To get an NFA  $N_3$  for  $L^{++}$  from the DFA  $D_2$ , we add an  $\varepsilon$ -transition from each non-initial final state of  $D_2$  to the state  $\{0\}$ , see Fig. 3 (top). Applying the subset construction to the NFA  $N_3$  results in a DFA  $D_3$  for the language  $L^{++}$  with its state set consisting of some sets of subsets of  $Q_n$ ; see Fig. 3 (middle). Here, for example, the label 0, 2 corresponds to the set  $\{\{0\}, \{2\}\}$ . This gives an upper bound of  $2^{2^n}$  on the state complexity of the operation plus-complement-plus.

Our first result shows that in the minimal DFA for  $L^{++}$  we do not have any state  $\{S_1, S_2, \dots, S_k\}$ , in which a set  $S_i$  is a subset of some other set  $S_j$ ; see Fig. 3 (bottom). This reduces the upper bound to the number of antichains of subsets of an  $n$ -element set known as the Dedekind number  $M(n)$  with [2]

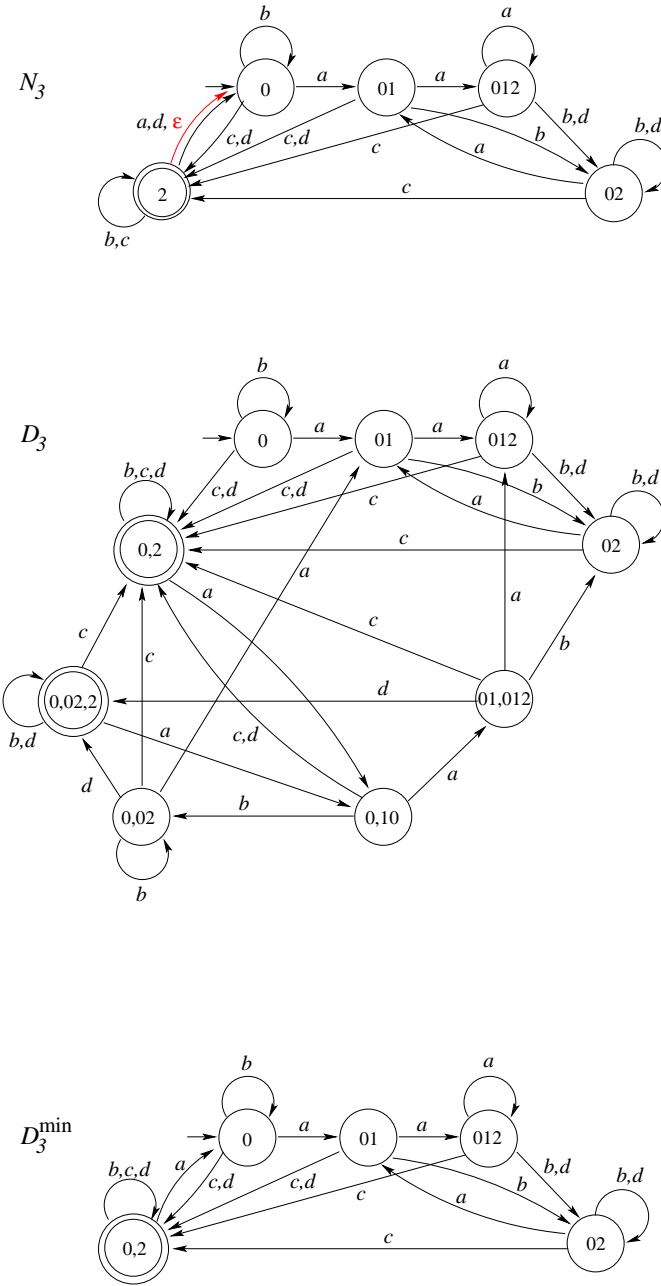
$$\binom{n}{\lfloor n/2 \rfloor} \leq \log M(n) \leq \binom{n}{\lfloor n/2 \rfloor} \left(1 + O\left(\frac{\log n}{n}\right)\right).$$



**Fig. 1.** DFA  $D$  for a language  $L$  and NFA  $N_1$  for the language  $L^+$ .



**Fig. 2.** DFA  $D_1$  for language  $L^+$  and DFA  $D_2$  for the language  $L^{+c}$ .



**Fig. 3.** NFA  $N_3$ , DFA  $D_3$ , and the minimal DFA  $D_3^{\min}$  for the language  $L^{++}$ .

**Lemma 1.** *If  $S$  and  $T$  are subsets of  $Q_n$  such that  $S \subseteq T$ , then the states  $\{S, T\}$  and  $\{S\}$  of the DFA  $D_3$  for the language  $L^{+c+}$  are equivalent.*

*Proof.* Let  $S$  and  $T$  be subsets of  $Q_n$  such that  $S \subseteq T$ . We only need to show that if a string  $w$  is accepted by the NFA  $N_3$  starting from the state  $T$ , then it also is accepted by  $N_3$  from the state  $S$ .

Assume  $w$  is accepted by  $N_3$  from  $T$ . Then in the NFA  $N_3$ , an accepting computation on  $w$  from state  $T$  looks like this:

$$T \xrightarrow{u} T_1 \xrightarrow{\varepsilon} \{0\} \xrightarrow{v} T_2,$$

where  $w = uv$ , and state  $T$  goes to an accepting state  $T_1$  on  $u$  without using any  $\varepsilon$ -transitions, then  $T_1$  goes to  $\{0\}$  on  $\varepsilon$ , and then  $\{0\}$  goes to an accepting state  $T_2$  on  $v$ ; it also may happen that  $w = u$ , in which case the computation ends in  $T_1$ . Let us show that  $S$  goes to an accepting state of the NFA  $N_3$  on  $u$ .

Since  $T$  goes to an accepting state  $T_1$  on  $u$  in the NFA  $N_3$  without using any  $\varepsilon$ -transition, state  $T$  goes to the accepting state  $T_1$  in the DFA  $D_2$ , and therefore to the rejecting state  $T_1$  of the DFA  $D_1$ . Thus, every state  $q$  in  $T$  goes to rejecting states in the NFA  $N_1$ . Since  $S \subseteq T$ , every state in  $S$  goes to rejecting states in the NFA  $N_1$ , and therefore  $S$  goes to a rejecting state  $S_1$  in the DFA  $D_1$ , thus to the accepting state  $S_1$  in the DFA  $D_2$ . Hence  $w = uv$  is accepted from  $S$  in the NFA  $N_3$  by computation

$$S \xrightarrow{u} S_1 \xrightarrow{\varepsilon} \{0\} \xrightarrow{v} T_2.$$

□

Hence whenever a state  $\mathcal{S} = \{S_1, S_2, \dots, S_k\}$  of the DFA  $D_3$  contains two subsets  $S_i$  and  $S_j$  with  $i \neq j$  and  $S_i \subseteq S_j$ , then it is equivalent to state  $\mathcal{S} \setminus \{S_j\}$ . Using this property, we get the following result.

**Lemma 2.** *Let  $D$  be a DFA for a language  $L$  with state set  $Q_n$ , and  $D_3^{\min}$  be the minimal DFA for  $L^{+c+}$  as described above. Then every state of  $D_3^{\min}$  can be expressed in the form*

$$\mathcal{S} = \{X_1, X_2, \dots, X_k\} \tag{1}$$

where

- $1 \leq k \leq n$ ;
- there exist subsets  $S_1 \subseteq S_2 \subseteq \dots \subseteq S_k \subseteq Q_n$ ; and
- there exist  $q_1, \dots, q_k$ , pairwise distinct states of  $D$  not in  $S_k$ ; such that
- $X_i = \{q_i\} \cup S_i$  for  $i = 1, 2, \dots, k$ .

*Proof.* Let  $D = (Q_n, \Sigma, \delta, 0, F)$ .

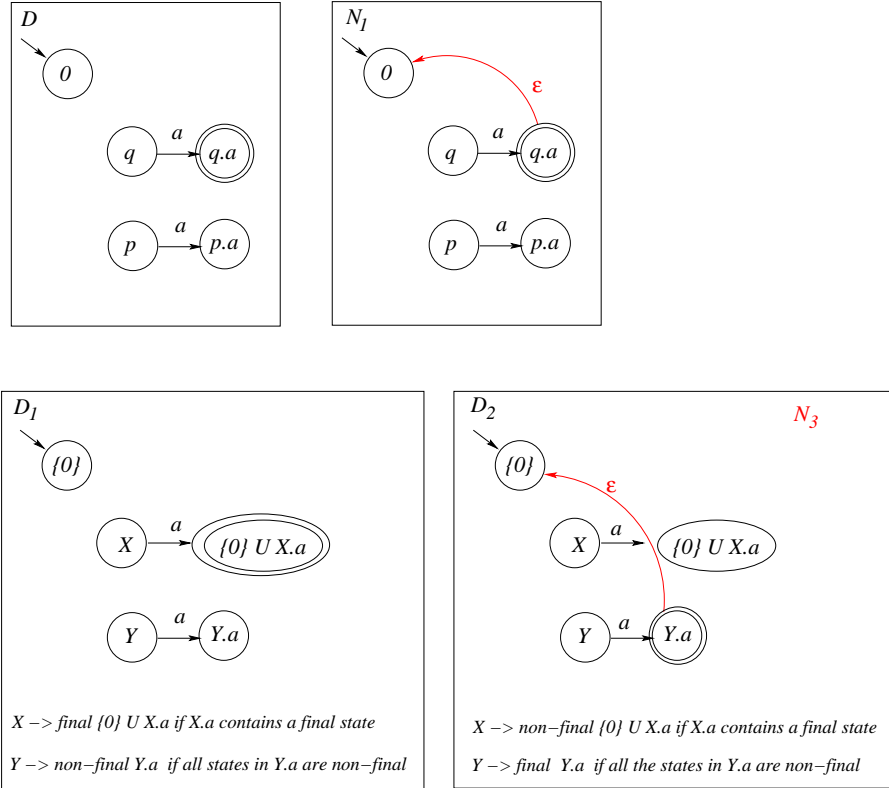
For a state  $q$  in  $Q_n$  and a symbol  $a$  in  $\Sigma$ , let  $q.a$  denote the state in  $Q_n$ , to which  $q$  goes on  $a$ , that is,  $q.a = \delta(q, a)$ . For a subset  $X$  of  $Q_n$  let  $X.a$  denote the set of states to which states in  $X$  go by  $a$ , that is,

$$X.a = \bigcup_{q \in X} \{\delta(q, a)\}.$$

Consider transitions on a symbol  $a$  in automata  $D, N_1, D_1, D_2, N_3$ ; Fig. 4 illustrates these transitions. In the NFA  $N_1$ , each state  $q$  goes to a state in  $\{0, q.a\}$  if  $q.a$  is a final state of  $D$ , and to state  $q.a$  if  $q.a$  is non-final. It follows that in the DFA  $D_1$  for  $L^+$ , each state  $X$  (a subset of  $Q_n$ ) goes on  $a$  to final state  $\{0\} \cup X.a$  if  $X.a$  contains a final state of  $D$ , and to non-final state  $X.a$  if all states in  $X.a$  are non-final in  $D$ . Hence in the DFA  $D_2$  for  $L^{+c}$ , each state  $X$  goes on  $a$  to non-final state  $\{0\} \cup X.a$  if  $X.a$  contains a final state of  $D$ , and to the final state  $X.a$  if all states in  $X.a$  are non-final in  $D$ .

Therefore, in the NFA  $N_3$  for  $L^{++}$ , each state  $X$  goes on  $a$  to a state in  $\{\{0\}, X.a\}$  if all states in  $X.a$  are non-final in  $D$ , and to state  $\{0\} \cup X.a$  if  $X.a$  contains a final state of  $D$ .

To prove the lemma for each state, we use induction on the length of the shortest path from the initial state to the state of  $D_3^{\min}$  in question. The base case is a path of length 0. In this case, the initial state is  $\{\{0\}\}$ , which is in the required form (1) with  $k = 1, q_1 = 0$ , and  $S_1 = \emptyset$ .



**Fig. 4.** Transitions under symbol  $a$  in automata  $D, N_1, D_1, D_2, N_3$ .

For the induction step, let

$$\mathcal{S} = \{X_1, X_2, \dots, X_k\},$$

where  $1 \leq k \leq n$ , and

- $S_1 \subseteq S_2 \subseteq \dots \subseteq S_k \subseteq Q_n$ ,
- $q_1, \dots, q_k$  are pairwise distinct states of  $D$  that are not in  $S_k$  and
- $X_i = \{q_i\} \cup S_i$  for  $i = 1, 2, \dots, k$ .

We now prove the result for all states reachable from  $\mathcal{S}$  on a symbol  $a$ .

First, consider the case that each  $X_i$  goes on  $a$  to a non-final state  $X'_i$  in the NFA  $N_3$ . It follows that  $\mathcal{S}$  goes on  $a$  to  $\mathcal{S}' = \{X'_1, X'_2, \dots, X'_k\}$ , where

$$X'_i = \{q_i.a\} \cup S_i.a \cup \{0\}.$$

Write  $p_i = q_i.a$  and  $P_i = S_i.a \cup \{0\}$ . Then we have  $P_1 \subseteq P_2 \subseteq \dots \subseteq P_k \subseteq Q_n$ .

If  $p_i = p_j$  for some  $i, j$  with  $i < j$ , then  $X'_i \subseteq X'_j$ , and therefore  $X'_j$  can be removed from state  $\mathcal{S}'$  in the minimal DFA  $D_3^{\min}$ . After several such removals, we arrive at an equivalent state

$$\mathcal{S}'' = \{X''_1, X''_2, \dots, X''_\ell\}$$

where  $\ell \leq k$ ,  $X''_i = \{r_i\} \cup R_i$  and the states  $r_1, r_2, \dots, r_\ell$  are pairwise distinct.

If  $r_i \in R_\ell$  for some  $i$  with  $i < \ell$ , then  $X_i \subseteq R_\ell$ ; thus  $R_\ell$  can be removed. After all such removals, we get an equivalent set

$$\mathcal{S}''' = \{X'''_1, X'''_2, \dots, X'''_m\}$$

where  $m \leq \ell$ ,  $X'''_i = \{t_i\} \cup T_i$  and the states  $t_1, t_2, \dots, t_m$  are pairwise distinct and  $t_1, t_2, \dots, t_{m-1}$  are not in  $T_m$ . If  $t_m \notin T_m$ , then the state  $\mathcal{S}'''$  is in the required form (1). Otherwise, if  $T_{m-1}$  is a proper subset of  $T_m$ , then there is a state  $t$  in  $T_m - T_{m-1}$ , and then we can take  $X'''_m = \{t\} \cup T_m - \{t\}$ : since  $t_1, \dots, t_{m-1}$  are not in  $T_m$ , they are distinct from  $t$ , and moreover  $T_{m-1} \subseteq T_m - \{t\}$ .

If  $T_{m-1} = T_m$ , then  $X'''_{m-1} \supseteq X'''_m$ , and therefore  $X'''_{m-1}$  can be removed from  $\mathcal{S}'''$ . After all these removals we either reach some  $T_i$  that is a proper subset of  $T_m$ , and then pick a state  $t$  in  $T_m - T_i$  in the same way as above, or we only get a single set  $T_m$ , which is in the required form  $\{r_m\} \cup T_m - \{r_m\}$ .

This proves that if each  $X_i$  in  $\mathcal{S}$  goes on  $a$  to a non-final state  $X'_i$  in the NFA  $N_3$ , then  $\mathcal{S}$  goes on  $a$  in the DFA  $D_3^{\min}$  to a set that is in the required form (1).

Now consider the case that at least one  $X_j$  in  $\mathcal{S}$  goes to a final state  $X'_j$  in the NFA  $N_3$ . It follows that  $\mathcal{S}$  goes to a final state

$$\mathcal{S}' = \{\{0\}, X'_1, X'_2, \dots, X'_k\},$$

where  $X'_j = \{q_j.a\} \cup S_j.a$  and if  $i \neq j$ , then  $X'_i = \{q_i.a\} \cup S_i.a$  or  $X'_i = \{0\} \cup \{q_i.a\} \cup S_i.a$ . We now can remove all  $X_i$  that contain state 0, and arrive at an equivalent state

$$\mathcal{S}'' = \{\{0\}, X''_1, X''_2, \dots, X''_\ell\},$$

where  $\ell \leq k$ , and  $X_i'' = \{p_i\} \cup P_i$ , and  $P_1 \subseteq P_2 \subseteq \dots \subseteq P_\ell \subseteq Q_n$ , and each  $p_i$  is distinct from 0.

Now in the same way as above we arrive at an equivalent state

$$\{\{0\}, \{t_1\} \cup T_1, \dots, \{t_m\} \cup T_m\}$$

where  $m \leq \ell$ , all the  $t_i$  are pairwise distinct and different from 0, and moreover, the states  $t_1, \dots, t_{m-1}$  are not in  $T_m$ . If  $t_m$  is not in  $T_m$ , then we are done. Otherwise, we remove all sets with  $T_i = T_m$ . We either arrive at a proper subset  $T_j$  of  $T_m$ , and may pick a state  $t$  in  $T_m - T_j$  to play the role of new  $t_m$ , or we arrive at  $\{\{0\}, T_m\}$ , which is in the required form  $\{\{0\} \cup \emptyset, t_m \cup T_m - \{t_m\}\}$ . This completes the proof of the lemma.  $\square$

**Corollary 1 (Star-Complement-Star: Upper Bound).** *If a language  $L$  is accepted by a DFA of  $n$  states, then the language  $L^{*c*}$  is accepted by a DFA of  $2^{O(n \log n)}$  states.*

*Proof.* Lemma 2 gives the following upper bound

$$\sum_{k=1}^n \binom{n}{k} k! (k+1)^{n-k}$$

since we first choose any permutation of  $k$  distinct elements  $q_1, \dots, q_k$ , and then represent each set  $S_i$  as disjoint union of sets  $S'_1, S'_2, \dots, S'_i$  given by a function  $f$  from  $Q_n - \{q_1, \dots, q_k\}$  to  $\{1, 2, \dots, k+1\}$  as follows:

$$S'_i = \{q \mid f(q) = i\}, \quad S_i = S'_1 \dot{\cup} S'_2 \dot{\cup} \dots \dot{\cup} S'_i,$$

while states with  $f(q) = k+1$  will be outside each  $S'_i$ ; here  $\dot{\cup}$  denotes a disjoint union. Next, we have

$$\sum_{k=1}^n \binom{n}{k} k! (k+1)^{n-k} \leq n! \sum_{k=1}^n \binom{n}{k} (n+1)^{n-k} \leq n! (n+2)^n = 2^{O(n \log n)},$$

and the upper bound follows.  $\square$

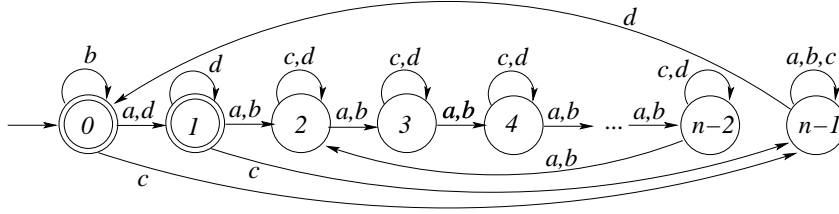
*Remark 1.* The summation  $\sum_{k=1}^n \binom{n}{k} k! (k+1)^{n-k}$  differs by one from Sloane's sequence A072597 [5]. These numbers are the coefficients of the exponential generating function of  $1/(e^{-x} - x)$ . It follows, by standard techniques, that these numbers are asymptotically given by  $C_1 W(1)^{-n} n!$ , where

$$W(1) \doteq .5671432904097838729999686622103555497538$$

is the Lambert W-function evaluated at 1, equal to the positive real solution of the equation  $e^x = 1/x$ , and  $C_1$  is a constant, approximately

$$1.12511909098678593170279439143182676599.$$

The convergence is quite fast; this gives a somewhat more explicit version of the upper bound.



**Fig. 5.** DFA  $D$  over  $\{a, b, c, d\}$  with many reachable states in DFA  $D_3$  for  $L^{++}$ .

### 3 Lower Bound

We now turn to the matching lower bound on the state complexity of plus-complement-plus. The basic idea is to create one DFA where the DFA for  $L^{++}$  has many reachable states, and another where the DFA for  $L^{++}$  has many distinguishable states. Then we “join” them together in Corollary 2.

The following lemma uses a four-letter alphabet to prove the reachability of some specific states of the DFA  $D_3$  for plus-complement-plus.

**Lemma 3.** *There exists an  $n$ -state DFA  $D = (Q_n, \{a, b, c, d\}, \delta, 0, \{0, 1\})$  such that in the DFA  $D_3$  for the language  $L(D)^{++}$  every state of the form*

$$\left\{ \{0, q_1\} \cup S_1, \{0, q_2\} \cup S_2, \dots, \{0, q_k\} \cup S_k \right\}$$

*is reachable, where  $1 \leq k \leq n - 2$ ,  $S_1, S_2, \dots, S_k$  are subsets of  $\{2, 3, \dots, n - 2\}$  with  $S_1 \subseteq S_2 \subseteq \dots \subseteq S_k$ , and the  $q_1, \dots, q_k$  are pairwise distinct states in  $\{2, 3, \dots, n - 2\}$  that are not in  $S_k$ .*

*Proof.* Consider the DFA  $D$  over  $\{a, b, c, d\}$  shown in Fig. 5. Let  $L$  be the language accepted by the DFA  $D$ .

Construct the NFA  $N_1$  for the language  $L^+$  from the DFA  $D$  by adding loops on  $a$  and  $d$  in the initial state 0. In the subset automaton corresponding to the NFA  $N_1$ , every subset of  $\{0, 1, \dots, n - 2\}$  containing state 0 is reachable from the initial state  $\{0\}$  on a string over  $\{a, b\}$  since each subset  $\{0, i_1, i_2, \dots, i_k\}$  of size  $k$ , where  $1 \leq k \leq n - 1$  and  $1 \leq i_1 < i_2 < \dots < i_k \leq n - 2$ , is reached from the set  $\{0, i_2 - i_1, \dots, i_k - i_1\}$  of size  $k - 1$  on the string  $ab^{i_1-1}$ . Moreover, after reading every symbol of string  $ab^{i_1-1}$ , the subset automaton is always in a set that contains state 0. All such states are rejecting in the DFA  $D_2$  for the language  $L^{++}$ , and therefore, in the NFA  $N_3$  for  $L^{++}$ , the initial state  $\{0\}$  only goes to the rejecting state  $\{0, i_1, i_2, \dots, i_k\}$  on  $ab^{i_1-1}$ .

Hence in the DFA  $D_3$ , for every subset  $S$  of  $\{0, 1, \dots, n - 2\}$  containing 0, the initial state  $\{\{0\}\}$  goes to the state  $\{S\}$  on a string  $w$  over  $\{a, b\}$ .

Now notice that transitions on symbols  $a$  and  $b$  perform the cyclic permutation of states in  $\{2, 3, \dots, n - 2\}$ . For every state  $q$  in  $\{2, 3, \dots, n - 2\}$  and an integer  $i$ , let

$$q \ominus i = ((q - i - 2) \bmod n - 3) + 2$$



denote the state in  $\{2, 3, \dots, n-2\}$  that goes to the state  $q$  on string  $a^i$ , and, in fact, on every string over  $\{a, b\}$  of length  $i$ . Next, for a subset  $S$  of  $\{2, 3, \dots, n-2\}$  let

$$S \ominus i = \{q \ominus i \mid q \in S\}.$$

Thus  $S \ominus i$  is a shift of  $S$ , and if  $q \notin S$ , then  $q \ominus i \notin S \ominus i$ .

The proof of the lemma now proceeds by induction on  $k$ . To prove the base case, let  $S_1$  be a subset of  $\{2, 3, \dots, n-2\}$  and  $q_1$  be a state in  $\{2, 3, \dots, n-2\}$  with  $q_1 \notin S_1$ . In the NFA  $N_3$ , the initial state  $\{0\}$  goes to the state  $\{0\} \cup S_1$  on a string  $w$  over  $\{a, b\}$ . Next, state  $q_1 \ominus |w|$  is in  $\{2, 3, \dots, n-2\}$ , and it is reached from state 1 on a string  $b^\ell$ , while state 0 goes to itself on  $b$ . In the DFA  $D_3$  we thus have

$$\{\{0\}\} \xrightarrow{a} \{\{0, 1\}\} \xrightarrow{b^\ell} \{\{0, q_1 \ominus |w|\}\} \xrightarrow{w} \{\{0, q_1\} \cup S_1\},$$

which proves the base case.

Now assume that every set of size  $k-1$  satisfying the lemma is reachable in the DFA  $D_3$ . Let

$$\mathcal{S} = \left\{ \{0, q_1\} \cup S_1, \{0, q_2\} \cup S_2, \dots, \{0, q_k\} \cup S_k \right\}$$

be a set of size  $k$  satisfying the lemma. Let  $w$  be a string, on which  $\{\{0\}\}$  goes to  $\{\{0\} \cup S_1\}$ , and let  $\ell$  be an integer such that 1 goes to  $q_1 \ominus |w|$  on  $b^\ell$ . Let

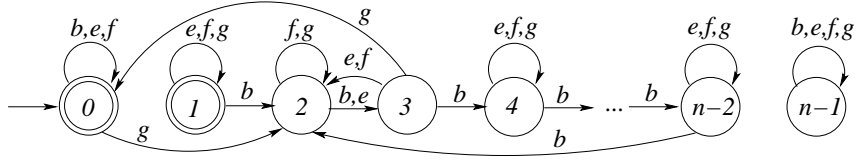
$$\mathcal{S}' = \left\{ \{0, q_2 \ominus |w| \ominus \ell\} \cup S_2 \ominus |w| \ominus \ell, \dots, \{0, q_k \ominus |w| \ominus \ell\} \cup S_k \ominus |w| \ominus \ell \right\},$$

where the operation  $\ominus$  is understood to have left-associativity. Then  $\mathcal{S}'$  is reachable by induction. On  $c$ , every set  $\{0, q_i \ominus |w| \ominus \ell\} \cup S_i \ominus |w| \ominus \ell$  goes to the accepting state  $\{n-1, q_i \ominus |w| \ominus \ell\} \cup S_i \ominus |w| \ominus \ell$  in the NFA  $N_3$ , and therefore also to the initial state  $\{0\}$ . Then, on  $d$ , every state  $\{n-1, q_i \ominus |w| \ominus \ell\} \cup S_i \ominus |w| \ominus \ell$  goes to the rejecting state  $\{0, q_i \ominus |w| \ominus \ell\} \cup S_i \ominus |w| \ominus \ell$ , while  $\{0\}$  goes to  $\{0, 1\}$ . Hence, in the DFA  $D_3$  we have

$$\begin{aligned} \mathcal{S}' &\xrightarrow{c} \left\{ \{0\}, \{n-1, q_2 \ominus |w| \ominus \ell\} \cup S_2 \ominus |w| \ominus \ell, \dots, \{n-1, q_k \ominus |w| \ominus \ell\} \cup S_k \ominus |w| \ominus \ell \right\} \\ &\xrightarrow{d} \left\{ \{0, 1\}, \{0, q_2 \ominus |w| \ominus \ell\} \cup S_2 \ominus |w| \ominus \ell, \dots, \{0, q_k \ominus |w| \ominus \ell\} \cup S_k \ominus |w| \ominus \ell \right\} \\ &\xrightarrow{b^\ell} \left\{ \{0, q_1 \ominus |w|\}, \{0, q_2 \ominus |w|\} \cup S_2 \ominus |w|, \dots, \{0, q_k \ominus |w|\} \cup S_k \ominus |w| \right\} \xrightarrow{w} \mathcal{S}. \end{aligned}$$

It follows that  $\mathcal{S}$  is reachable in the DFA  $D_3$ . This concludes the proof.  $\square$

The next lemma shows that some rejecting states of the DFA  $D_3$ , in which no set is a subset of some other set, may be pairwise distinguishable. To prove the result it uses four symbols, one of which is the symbol  $b$  from the proof of the previous lemma.



**Fig. 6.** DFA  $D$  over  $\{b, e, f, g\}$  with many distinguishable states in DFA  $D_3$ .

**Lemma 4.** *Let  $n \geq 5$ . There exists an  $n$ -state DFA  $D = (Q_n, \Sigma, \delta, 0, \{0, 1\})$  over a four-letter alphabet  $\Sigma$  such that all the states of the DFA  $D_3$  for the language  $L(D)^{++}$  of the form*

$$\left\{ \{0\} \cup T_1, \{0\} \cup T_2, \dots, \{0\} \cup T_k \right\},$$

*in which no set is a subset of some other set and each  $T_i \subseteq \{2, 3, \dots, n-2\}$ , are pairwise distinguishable.*

*Proof.* To prove the lemma, we reuse the symbol  $b$  from the proof of Lemma 3, and define three new symbols  $e, f, g$  as shown in Fig. 6.

Notice that on states  $2, 3, \dots, n-2$ , the symbol  $b$  performs a big permutation, while  $e$  performs a trasposition, and  $f$  a contraction. It follows that every transformation of states  $2, 3, \dots, n-2$  can be performed by strings over  $\{b, e, f\}$ . In particular, for each subset  $T$  of  $\{2, 3, \dots, n-2\}$ , there is a string  $w_T$  over  $\{b, e, f\}$  such that in  $D$ , each state in  $T$  goes to state 2 on  $w_T$ , while each state in  $\{2, 3, \dots, n-2\} \setminus T$  goes to state 3 on  $w_T$ . Moreover, state 0 remains in itself while reading the string  $w_T$ . Next, the symbol  $g$  sends state 0 to state 2, state 3 to state 0, and state 2 to itself.

It follows that in the NFA  $N_3$ , the state  $\{0\} \cup T$ , as well as each state  $\{0\} \cup T'$  with  $T' \subseteq T$ , goes to the accepting state  $\{2\}$  on  $w_T \cdot g$ . However, every other state  $\{0\} \cup T''$  with  $T'' \subseteq \{2, 3, \dots, n-2\}$  is in a state containig 0, thus in a rejecting state of  $N_3$ , while reading  $w_T \cdot g$ , and it is in the rejecting state  $\{0, 3\}$  after reading  $w_T$ . Then  $\{0, 3\}$  goes to the rejecting state  $\{0, 2\}$  on reading  $g$ .

Hence the string  $w_T \cdot g$  is accepted by the NFA  $N_3$  from each state  $\{0\} \cup T'$  with  $T' \subseteq T$ , but rejected from any other state  $\{0\} \cup T''$  with  $T'' \subseteq \{2, 3, \dots, n-2\}$ .

Now consider two different states of the DFA  $D_3$

$$\begin{aligned} \mathcal{T} &= \{ \{0\} \cup T_1, \dots, \{0\} \cup T_k \}, \\ \mathcal{R} &= \{ \{0\} \cup R_1, \dots, \{0\} \cup R_\ell \}, \end{aligned}$$

in which no set is a subset of some other set and where each  $T_i$  and each  $R_j$  is a subset of  $\{2, 3, \dots, n-2\}$ . Then, without loss of generality, there is a set  $\{0\} \cup T_i$  in  $\mathcal{T}$  that is not in  $\mathcal{R}$ . If no set  $\{0\} \cup T'$  with  $T' \subseteq T_i$  is in  $\mathcal{R}$ , then the string  $w_{T_i} \cdot g$  is accepted from  $\mathcal{T}$  but not from  $\mathcal{R}$ . If there is a subset  $T'$  of  $T_i$  such that  $\{0\} \cup T'$  is in  $\mathcal{R}$ , then for each subset  $T''$  of  $T'$  the set  $\{0\} \cup T''$  cannot be in  $\mathcal{T}$ , and then the string  $w_{T'} \cdot g$  is accepted from  $\mathcal{R}$  but not from  $\mathcal{T}$ .  $\square$

**Corollary 2 (Star-Complement-Star: Lower Bound).** *There exists a language  $L$  accepted by an  $n$ -state DFA over a seven-letter input alphabet, such that any DFA for the language  $L^{*c*}$  has  $2^{\Omega(n \log n)}$  states.*

*Proof.* Let  $\Sigma = \{a, b, c, d, e, f, g\}$  and  $L$  be the language accepted by  $n$ -state DFA  $D = (\{0, 1, \dots, n-1\}, \Sigma, \delta, 0, \{0, 1\})$ , where transitions on symbols  $a, b, c, d$  are defined as in the proof of Lemma 3, and on symbols  $d, e, f$  as in the proof of Lemma 4.

Let  $m = \lceil n/2 \rceil$ . By Lemma 3, the following states are reachable in the DFA  $D_3$  for  $L^{+c+}$ :

$$\{\{0, 2\} \cup S_1, \{0, 3\} \cup S_2, \dots, \{0, m-2\} \cup S_{m-1}\},$$

where  $S_1 \subseteq S_2 \subseteq \dots \subseteq S_{m-1} \subseteq \{m-1, m, \dots, n-2\}$ . The number of such subsets  $S_i$  is given by  $m^{n-m}$ , and we have

$$m^{n-m} \geq \left(\frac{n}{2}\right)^{\frac{n}{2}-1} = 2^{\Omega(n \log n)}.$$

By Lemma 4, all these states are pairwise distinguishable, and the lower bound follows.  $\square$

Hence we have an asymptotically tight bound on the state complexity of star-complement-star operation that is significantly smaller than  $2^{2^n}$ .

**Theorem 1.** *The state complexity of star-complement-star is  $2^{\Theta(n \log n)}$ .*  $\square$

## 4 Applications

We conclude with an application.

**Corollary 3.** *Let  $L$  be a regular language, accepted by a DFA with  $n$  states. Then any language that can be expressed in terms of  $L$  and the operations of positive closure, Kleene closure, and complement has state complexity bounded by  $2^{\Theta(n \log n)}$ .*

*Proof.* As shown in [1], every such language can be expressed, up to inclusion of  $\varepsilon$ , as one of the following 5 languages and their complements:

$$L, L^+, L^{c+}, L^{+c+}, L^{c+c+}.$$

If the state complexity of  $L$  is  $n$ , then clearly the state complexity of  $L^c$  is also  $n$ . Furthermore, we know that the state complexity of  $L^+$  is bounded by  $2^n$  (a more exact bound can be found in [7]); this also handles  $L^{c+}$ . The remaining languages can be handled with Theorem 1.  $\square$

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